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# JUDICIAL SERVICE COMMISSION REPUBLIC OF SOUTH AFRICA

SUMMARY AND EXPLANATION OF THE CRITERIA AND GUIDELINES USED BY THE JUDICIAL SERVICE COMMISSION WHEN CONSIDERING CANDIDATES FOR JUDICIAL APPOINTMENT

- 1 At its special sitting held in Johannesburg on 4 April 2022, the Judicial Service Commission (JSC) considered and reviewed:
  - 1.1 the guidelines for questioning candidates for judicial appointment to the Constitutional Court adopted in 1994; and
  - 1.2 the criteria for judicial selection adopted in 2010.

#### The JSC's decision and approach

- 2 The JSC resolved to review and update the guidelines and publish its understanding of the criteria for judicial selection.
- The JSC's purpose is to ensure consistency in its own decision-making process, to enhance the public's understanding of the JSC's selection of judicial officers and to ensure openness and transparency.
- The interpretation articulated in this document is not exhaustive or definitive. Nor does it set a list of pre-determined questions. The factors to be taken into account do not constitute a closed list or restrict questioning, provided that all questions



must be relevant to the criteria. The weight to be attached to individual factors may differ depending on the circumstances.

#### The Constitutional Criteria

- 5 Section 174 of the Constitution provides as follows:
  - (1) Any appropriately qualified woman or man who is a fit and proper person may be appointed as a judicial officer. Any person to be appointed to the Constitutional Court must also be a South African citizen.
  - (2) The need for the judiciary to reflect broadly the racial and gender composition of South Africa must be considered when judicial officers are appointed.
- 6 Section 165(2) of the Constitution provides as follows:

The courts are independent and subject only to the Constitution.

- 7 These criteria are captured as follows:
  - 7.1 is the candidate an appropriately qualified person?
  - 7.2 is the candidate a fit and proper person?
  - 7.3 would the candidate's appointment help to reflect the racial and gender composition of South Africa?
  - 7.4 would the candidate be independent?



The constitutional requirements are framed in broad and general terms, and are a minimum threshold and are not exhaustive of the criteria to be considered for appointment. The factors set out below explain the requirements.

# **Appropriately qualified**

- 9 This concerns the candidate's academic and professional qualifications, technical competence, skill and experience. It is not a reference to academic qualifications only, but also covers legal knowledge and experience.
- It is desirable for applicants to be assessed on their own merits to determine whether they meet the "appropriately qualified" requirement, having regard to both prior acting appointments as a judge and as a practitioner in the courts.
- The JSC will consider the candidate's judicial track record, experience in their practice and good judgement. Regard will be had to the candidate's ability to run a court room, a working knowledge of court procedure and forensic skill. The candidate's qualities under this section should be apparent from their judgments and awards (reported and unreported) and/or their track record as a practitioner and/or their publications.
- 12 It is desirable for candidates to have acted in a judicial or quasi-judicial role but this is not an essential requirement, particularly when it comes to elevation to an appellate court, including the Competition Appeal Court, Labour Appeal Court, Supreme Court of Appeal, and Constitutional Court. Appellate experience both as a practitioner or as an acting judge would be a relevant consideration in the



latter circumstance, but it should not be given excessive weight over other considerations.

- 13 Local knowledge and expertise should be valued, but it should not be a disqualifying factor if the candidate does not come from that region.
- The particular court and its level within the judicial hierarchy should be factors influencing what makes a candidate appropriately qualified for appointment, having regard to the different functions of the courts. This would include:
  - 14.1 an appreciation for the Constitution as the supreme law and for the values of the Constitution:
  - an appreciation that the Constitution envisages the appointment of nonjudges to the Constitutional Court, which envisages the inclusion of legal academics, attorneys and advocates for direct appointment to the Court;
  - 14.3 that candidates for specialist courts would be expected to have particular experience and skill in the relevant area;
  - 14.4 an ability to resolve commercial disputes in a just, economical and expeditious manner, with due regard to precedent;
  - 14.5 an understanding of social media and technology to enable them to deal with disputes concerning, for example, privacy, data gathering and the effects of the publication of damaging information; and



in addition to other qualities, those applying for leadership positions in the judiciary should have vision, leadership qualities, good interpersonal and case-management skills, and must have demonstrated maturity of judgement and relational wisdom.

## Fit and proper

- This concerns a holistic assessment of a candidate's suitability for appointment to the bench, with reference to a broad and cumulative reading of multiple factors, which will include integrity, knowledge, scholarship, experience, dignity, humility, courtesy, judgement, wisdom, independence, character, courage, forensic skill, capacity for articulation, diligence, energy and industry. Character includes considerations such as whether a candidate is honest, truthful, trustworthy and whether they keep their word.
- The proposed appointee must be a competent and experienced person, and must be technically competent and have the capacity to give expression to the values of the Constitution.
- Judges must interpret and enforce the Constitution in such a way as to support its fundamental values of democracy, the rule of law and the protection of fundamental human rights. All candidates should be able to demonstrate an understanding of the Constitution and the importance of infusing the jurisprudence of our courts with the core Constitutional values, rights and obligations. Judges must be able to discern and articulate the principles which underlie the Constitution. For example, they must understand

the importance of a commitment to the realization of socio-economic rights, especially for poor people, and access to justice.

- 18 Candidates must be capable of applying or distinguishing previous decisions of other courts, and they must be able to give expression to the moral convictions of an open and democratic society based on freedom and equality. Judges must be able to explain cogently and concisely why they rejected any plausible argument. They must have the ability to explain their decisions clearly and succinctly to all those involved.
- All this requires considerable forensic and intellectual ability, an appreciation of the practical workings of court procedure, a thorough and general knowledge of law and an appreciation of the relevant ethical duties and rules designed to protect the integrity of the legal process.
- The candidate should have a good judicial temperament. This includes such factors as fair-mindedness, humility, impartiality, courtesy, patience, respect, fairness, sensitivity to social context, commitment to public service and the equal administration of justice. The candidate should show courtesy, patience and respect to all who appear before the candidate including unrepresented litigants, witnesses, practitioners appearing before them and colleagues.
- 21 Consideration will be given to whether the candidate is a person with the necessary energy and motivation, writing skills, critical reasoning, diligence, stamina, industry, work ethic and productivity, particularly in respect of the delivery of judgments without undue delay.



The candidate's qualities under this section should be apparent from their judgments and awards (reported and unreported) and/or their track record as a practitioner and/or their publications.

# Reflection of the racial and gender composition of South Africa

- 23 It is a constitutional imperative that race and gender must be taken into account in the selection of judges to achieve representativity and diversity.
- The constitutional instruction must be understood as reflecting that the Courts, to do justice, must have the capacity to understand and relate to the experiences of all South Africans. The concern is with the effectiveness of the Court as an instrument of justice. The constitutional instruction is based on the conviction that the Court must be constituted from a broad enough spectrum of South African society to be able to understand the experience of all South Africans and empathise with their needs. The ability to understand the experience of a race or a gender and empathise with its needs is generally present to a much greater degree in those who have lived that experience than in those who have merely studied it.
- The "need to constitute a court which is ... representative in respect of race and gender" cannot be understood to rigidly or mechanically require that each court represents the races and genders in direct proportion to their share of the national population. It is recognised that a balance must be struck: whilst a particular court may not represent the races and genders in direct proportion to their share of the national population (although appointments to



that court should seek to reflect the need to transform that particular court), the racial and gender composition of the judiciary remains an important factor. Diversity is a quality without which the Court is unlikely to be able to do justice to all the citizens of this country, but it is not an independent requirement, superimposed upon the constitutional requirement of competence. Properly understood, diversity is a component of competence – the Court will not be competent to do justice unless, as a collegial whole, it can relate fully to the experience of all who seek its protection. It is for this reason that, if the Court does not meet the standard set by the constitutional instruction, it will lack the confidence of the nation, and consequently lack legitimacy.

- The constitutional imperative suggests a general concern that judges should be sensitive to the experience and needs of all who supplicate for justice before them. A capacity for empathy and an appreciation of the needs of the community are therefore relevant considerations in the selection of judges.
- In evaluating a candidate's professional experience and potential, the JSC may take into consideration that opportunities for advancement in the profession for black persons and women were limited by institutional, historical, systemic and other barriers. The reality of race-based and gender-based bias, which hinder worthy candidates from obtaining experience and competency in certain areas of practice, should not result in candidates being unfairly disqualified. This is particularly relevant when considering a black female candidate. Where a candidate who will not enhance racial or gender representativity is better qualified in an important respect, the JSC should give due

consideration to that fact in weighing all relevant factors. These may include the overall needs of the judiciary at the relevant time, the performance and needs of the relevant court, discrimination that still pervades legal practice and the extent to which the candidate may have been historically prejudiced.

- A candidate's commitment and contribution to transformation will be considered.

  In addition, the candidate's commitment and participation to community-based and professional structures will be considered.
- 29 The message that a particular appointment may convey to the community at large will also be considered.

### Independence

- 30 Judges should make their own decisions independently and be possessed of courage, fairness and good judgement.
- Judicial independence means that the judge is required to make up his or her own mind on an issue, independently of pressure or coercion from some other person or body, and independently of loyalty or affiliation to some other person or body.
- The judge must have the courage and the integrity to be able to resist pressure, which may be external, such as political, commercial or personal, or internal, such as a desire for popularity and even the pressure of a direct threat. A judge should deliver a judgment which he or she believes is required by the law and evidence before them and should not be diverted from this course by any such external or internal pressure.

- 33 Since membership of a society, whether secret or otherwise, or a close political affiliation or loyalty to such a society or organisation, may have a bearing on a candidate's capacity to be an independent judge, a candidate may be questioned about such a membership, affiliation or loyalty. Membership of, or affiliation with, an organisation which demonstrably seeks to undermine the values of the Constitution, may be a disqualifying consideration.
- This does not mean that the candidate has to be free of personal convictions, political or other, that might be relevant to a case. But the candidate must have the capacity to put her or his own convictions at a sufficient distance to view those convictions with sufficient detachment to be able to evaluate the arguments and the evidence in the case with an open mind. Openness to persuasion is an indispensable quality in a judge.
- It is clear that a judge cannot be relied upon to bring these qualities to bear unless she or he is a person of courage and integrity. A person of integrity is someone who is faithful to his or her principles and oath of office. None of this means that the character of a candidate for appointment to the Court has to be free of all lapses or blemishes; but a personal history of hypocrisy, dishonesty, opportunism or expediency will disqualify a candidate from being appointed to a judicial office.

## Guidelines regarding the JSC's approach to the interviews

The interviewing process is to be governed by an overarching and all-embracing principle of fairness and respect for a candidate's dignity. While



the interviews should be thorough and robust, Commissioners should be reminded that in their questioning, they are required to respect the dignity candidates. Therefore, humiliating a candidate or asking sexist or racist questions, for example, should not be permitted.

- 37 Questions put by commissioners to a candidate must be relevant to the criteria. It is the obligation of the chair of the JSC to enforce this guideline rigorously. In doing so, the chair will enjoy a discretion. After hearing the commissioners, the chair's ruling on the permissibility of a question will be final.
- A candidate may not be asked a question which cannot be answered without giving an undertaking or a commitment about how the candidate would answer that question if it arose for decision before the Court. Questioning of this kind is not compatible with a judge's duty to approach issues entrusted to them for adjudication independently and with an open mind, as judges should not commit themselves to an answer before hearing argument. A candidate must decide impartially and so can offer no forecasts, or hints, that may indicate a disregard for the specifics of the particular case.
- The object of the selection process is to discover the candidates who are best qualified for the Court and that judges of the highest calibre possible are appointed. The search is therefore primarily for positive qualities, not disqualifications.
- This does not mean that the JSC can ignore serious disqualifying allegations. In regard to such allegations, and because they may affect a



candidate's reputation or dignity or otherwise intrude into the candidate's privacy, and/or the reputation or independence of the judiciary, the following guidelines will be applied:

- 40.1 the allegation must be of such a nature that, if true, could potentially render the candidate not a "fit and proper" person;
- 40.2 unless a sufficient substantiation for the allegation exists <u>and the</u>

  <u>candidate is given advance written notice of the allegation with sufficient</u>

  <u>time to prepare to meet allegations</u>, the matter may not be raised with the

  candidate during the interview;
- 40.3 the JSC will receive input from the Objection Committee.

